

Usage Guideline

Corporate Action Movement Reversal Advice seev.037.001.16

DTCC - US - CA - Payment Processing - SR 2025

This document describes a usage guideline restricting the base message **MX seev.037.001.16**. You can also consult [this information online](#).

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Message Functionality

Overview

Used to notify of a payment reversal made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance.

Collection Description

Outline

The DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Movement Reversal Advice seev.037.001.16 message is composed of 14 building blocks

- a. MovementConfirmationIdentification
Identification of a previously sent movement confirmation document.
- b. OtherDocumentIdentification
Identification of other documents as well as the document number.
- c. EventsLinkage
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- d. ReversalReason
Reason for the reversal.
- e. TransactionIdentification
Transaction type and identification information.
- f. CorporateActionGeneralInformation
General information about the corporate action event.
- g. AccountDetails
General information about the safekeeping account, owner and account balance.
- h. CorporateActionDetails
Information about the corporate action event.
- i. CorporateActionConfirmationDetails
Information about the corporate action option.
- j. AdditionalInformation
Provides additional information.
- k. IssuerAgent
Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.
- l. PayingAgent
Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

m. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offeror.

n. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.037.001.16 message.

Restriction Type	See page
Removed elements	12, 12, 13, 16, 16, 17, 17, 22, 24, 25, 25, 30, 32, 39, 41, 43, 45, 50, 51, 52, 54, 54, 55, 61, 61, 62, 62, 72, 73, 73, 73, 76, 76, 81, 84, 85, 92, 94, 97, 97, 97, 97, 98, 98, 98, 99, 99, 99, 104, 105, 105, 105, 105, 106, 106, 108, 108, 108, 109, 109, 109, 110, 110, 110, 110, 111, 111, 111, 113, 114, 115, 116, 116, 117, 117, 117, 119, 119, 119, 119, 120, 121, 121, 121, 122, 122, 123, 124, 124, 124, 125, 125, 126, 127, 127, 127, 128, 136, 137, 137, 139, 139, 139, 140, 140, 140, 140, 141, 141, 141, 141, 142, 142, 143, 145, 147, 147, 147, 148, 148, 160, 161, 161
Make mandatory	n.a.
Reduce Multiplicity	n.a.
Ignore	n.a.
Text rules	11, 11, 18, 22, 31, 32, 42, 48, 60, 60, 61, 61, 69, 69, 70, 70, 75, 76, 77, 85, 86, 87, 89, 91, 91, 91, 114, 117, 142, 143
Conditional rules	n.a.
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	22, 24, 31, 31, 42, 42, 43, 44, 47, 48, 86, 88, 89, 92, 107, 107, 112, 113, 114, 115, 116, 118, 118, 122, 123, 123, 125, 126, 126, 128, 128, 137, 138, 142, 143, 144
Annotations	13, 18, 21, 23, 29, 30, 31, 32, 33, 35, 36, 44, 86, 87, 87, 88, 89
Changed datatypes	21, 22, 27, 27, 29, 32, 37, 37, 42, 42, 43, 45, 46, 46, 46, 52, 55, 57, 58, 60, 60, 60, 60, 60, 60, 61, 61, 61, 61, 61, 61, 62, 62, 62, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 64, 65, 65, 65, 65, 65, 65, 65, 65, 65, 65, 65, 65, 66, 66, 67, 67, 67, 67, 68, 68, 68, 68, 68, 68, 69, 69, 72, 72, 72, 74, 75, 75, 75, 82, 82, 82, 92, 92, 95

Restriction Type	See page
Extensions	n.a.
Synonyms	11, 22, 23, 24, 30, 31, 32, 35, 36, 36, 42, 42, 43, 44, 44, 47, 48, 76, 76, 76, 77, 77, 77, 86, 86, 87, 88, 89, 91, 91, 91, 92, 106, 106, 107, 107, 107, 108, 111, 112, 112, 113, 113, 114, 114, 115, 116, 118, 118, 120, 120, 120, 120, 120, 122, 123, 123, 124, 126, 126, 128, 128, 137, 137, 138, 138, 138, 138, 138, 138, 138, 142, 143, 143, 144, 144, 144, 144

2 Structure

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionMovementReversalAdviceV16</i>	<CorpActnMvmntRvslAdv>		[1..1]	⚠	11
	MovementConfirmationIdentification	<MvmntConfld>		[1..1]	⚠	11
	Identification	<Id>		[1..1]	⚠	55
	LinkageType	<LkgTp>		[0..1]	⊘	55
	OtherDocumentIdentification	<OthrDocId>		[0..*]	⊘	11
	Identification	<Id>		[1..1]		56
	DocumentNumber	<DocNb>		[0..1]		56
	LinkageType	<LkgTp>		[0..1]		57
	EventsLinkage	<EvtsLkg>		[0..*]	⊘	12
	EventIdentification	<EvtId>		[1..1]		38
	LinkageType	<LkgTp>		[0..1]		38
	ReversalReason	<RvslRsn>		[0..1]	⚠	12
	Reason	<Rsn>		[1..1]		51
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	⊘ ⚠	52
	TransactionIdentification	<TxId>		[0..1]	⊘	13
	MarketInfrastructureTransactionIdentification	<MktInfstrctrTxId>		[1..1]	⚠	95
	CorporateActionGeneralInformation	<CorpActnGnlInf>		[1..1]		13
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]	⚠	41
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]	⚠	42
	ClassActionNumber	<ClsActnNb>		[0..1]	⊘ ⚠	42
	EventType	<EvtTp>		[1..1]	⚠	43
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	43
	FractionalQuantity	<FrctnlQty>		[0..1]	⊘	44
	AccountDetails	<AcctDtls>		[1..1]		14
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	21
	BlockchainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]	⊘ ⚠	22
	ConfirmedBalance	<ConfdBal>		[1..1]	⚠	22

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionDetails	<CorpActnDtls>		[0..1]		14
	DateDetails	<DtDtls>		[0..1]		33
	EventStage	<EvtStag>		[0..1]		33
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..1]		34
	IntermediateSecuritiesDistribution-Type	<IntrmdtSciesDstrbtnTp>		[0..1]		34
	LotteryType	<LtryTp>		[0..1]		34
	CorporateActionConfirmationDetails	<CorpActnConfDtls>		[1..1]		15
	OptionNumber	<OptnNb>		[1..1]		47
	OptionType	<OptnTp>		[1..1]		47
	SecuritiesMovementDetails	<SciesMvmntDtls>		[0..*]		48
	CashMovementDetails	<CshMvmntDtls>		[0..*]		48
	AdditionalInformation	<AddtlInf>		[0..1]		15
	AdditionalText	<AddtlTxt>		[0..*]		45
	NarrativeVersion	<NrrtvVrsn>		[0..*]		45
	PartyContactNarrative	<PtyCtctNrrtv>		[0..*]		46
	TaxationConditions	<TaxtnConds>		[0..*]		46
	IssuerAgent	<IssrAgt>		[0..*]		16
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78
	PayingAgent	<PngAgt>		[0..*]		16
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78
	SubPayingAgent	<SubPngAgt>		[0..*]		17
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<Prtryld>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78
	SupplementaryData	<SplmtryData>		[0..*]		17
	PlaceAndName	<PlcAndNm>		[0..1]		94
	Envelope	<Envlp>		[1..1]		94

3 ISO 20022 Rules

R1 OptionNumberRule

The CorporateActionConfirmationDetails/OptionNumber value provided must be the same as the one provided in the related CorporateActionOptionDetails/OptionNumber element of the CorporateActionNotification or CorporateActionMovementPreliminaryAdvice messages previously sent.

Error handling: Undefined

R2 AdditionalInformationRule

All elements in the AdditionalInformation sequence must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in AdditionalInformation/NarrativeVersion.

Error handling: Undefined

R3 IntermediateSecuritiesDistribution1Rule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/

IntermediateSecuritiesDistributionType must be present.

(MT 566 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00550
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

4 Guidelines

1. IssuerAgentGuideline

IssuerAgent must be used only in the communication between a CSD and local custodians.

5 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2025 / Corporate Action Movement Reversal Advice seev.037.001.16 message definition.

Usage Guideline on Root Node

- on seev.037.001.16/
 - **Market Practice Usage Rule:**

Used to notify of a payment reversal made on a corporate action event. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance.
- on seev.037.001.16/

Synonym (DTCC): Allocation Reversal

5.1 MovementConfirmationIdentification

XML Tag: MvmntConfId

Presence: [1..1]

Definition: Identification of a previously sent movement confirmation document.

The **MvmntConfId** block contains the following elements (see datatype "DocumentIdentification31__1" on page 54 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ▲ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	▲	55
	LinkageType	<LkgTp>		[0..1]	⊘	55

Usage Guideline details

- on seev.037.001.16/MovementConfirmationIdentification
 - **Market Practice Implementation Rules:**

Business Message Identifier of the CACO that is being reversed.

5.2 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32_1](#)" on [page 55](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	DocumentNumber	<DocNb>		[0..1]		56
	LinkageType	<LkgTp>		[0..1]		57

Usage Guideline details

- This element(seev.037.001.16/OtherDocumentIdentification) is removed.

5.3 EventsLinkage

XML Tag: EvtSLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtSLkg** block contains the following elements (see datatype "[CorporateActionEventReference3_1](#)" on [page 37](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		38
	LinkageType	<LkgTp>		[0..1]		38

Usage Guideline details

- This element(seev.037.001.16/EventsLinkage) is removed.

5.4 ReversalReason

XML Tag: RvslRsn

Presence: [0..1]

Definition: Reason for the reversal.

The **RvslRsn** block contains the following elements (see datatype "[CorporateActionReversalReason8__1](#)" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Reason	<Rsn>		[1..1]		51
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	 	52

Usage Guideline details

- on [seev.037.001.16/ReversalReason](#)
 - **Applicability:**
true

5.5 TransactionIdentification

XML Tag: TxId

Presence: [0..1]

Definition: Transaction type and identification information.

The **TxId** block contains the following elements (see datatype "[TransactionIdentification15__1](#)" on page 95 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfstrctrTxId>		[1..1]		95

Usage Guideline details

- This element([seev.037.001.16/TransactionIdentification](#)) is removed.

5.6 CorporateActionGeneralInformation

XML Tag: CorpActnGnllnf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnllnf** block contains the following elements (see datatype "[CorporateActionGeneralInformation179__1](#)" on page 41 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]	⚠	41
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]	⚠	42
	ClassActionNumber	<ClsActnNb>		[0..1]	🚫 ⚠	42
	EventType	<EvtTp>		[1..1]	⚠	43
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	43
	FractionalQuantity	<FrctnlQty>		[0..1]	🚫	44

5.7 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "AccountAndBalance50__1" on page 20 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	21
	BlockChainAddressOrWallet	<BlckChainAdrOrWlt>		[0..1]	🚫 ⚠	22
	ConfirmedBalance	<ConfdBal>		[1..1]	⚠	22

5.8 CorporateActionDetails

XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtls** block contains the following elements (see datatype "CorporateAction70__1" on page 32 for details)

🚫 Removed - 🚫 Partial Removed - ⚠ Ignored - ⚠ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		33
	EventStage	<EvtStag>		[0..1]	⚠	33

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..1]		34
	IntermediateSecuritiesDistributionType	<IntrmdtScitiesDstrbtnTp>		[0..1]		34
	LotteryType	<LtryTp>		[0..1]		34

5.9 CorporateActionConfirmationDetails

XML Tag: CorpActnConfDtls

Presence: [1..1]

Definition: Information about the corporate action option.

The **CorpActnConfDtls** block contains the following elements (see datatype "[CorporateActionOption240__1](#)" on page 46 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		47
	OptionType	<OptnTp>		[1..1]		47
	SecuritiesMovementDetails	<ScitiesMvmntDtls>		[0..*]		48
	CashMovementDetails	<CshMvmntDtls>		[0..*]		48

5.10 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative31__1](#)" on page 45 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		45
	NarrativeVersion	<NrtrvVrsn>		[0..*]		45
	PartyContactNarrative	<PtyCtctNrtrv>		[0..*]		46
	TaxationConditions	<TaxtnCnds>		[0..*]		46

Usage Guideline details

- This element(seev.037.001.16/AdditionalInformation) is removed.

5.11 IssuerAgent

XML Tag: IssrAgt

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "PartyIdentification120Choice__1" on page 77 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78

Usage Guideline details

- This element(seev.037.001.16/IssuerAgent) is removed.

5.12 PayingAgent

XML Tag: PngAgt

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **PngAgt** block contains the following elements (see datatype "PartyIdentification120Choice__1" on page 77 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78

Usage Guideline details

- This element(seev.037.001.16/PayingAgent) is removed.

5.13 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **SubPngAgt** block contains the following elements (see datatype "PartyIdentification120Choice__1" on page 77 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78

Usage Guideline details

- This element(seev.037.001.16/SubPayingAgent) is removed.

5.14 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "SupplementaryData1" on page 94 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]		94
	Envelope	<Envlp>		[1..1]		94

Usage Guideline details

- **on seev.037.001.16/SupplementaryData**

- **DTCC Supplementary Date Rule:**

- Used for extensions. The extension schema will be embedded in the Envelope component.

- **on seev.037.001.16/SupplementaryData**

- **Applicability:**
true

6 Message Components

Note The following chapter identifies the message components.

6.1 Account10Choice__1

Definition: Choice between a cash account, a charges account or a tax account.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		19
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		19
	TaxAccount	<TaxAcct>	Or}	[1..1]		20

Used in element(s)

"Account" on page 30

6.1.1 CashAccount

XML Tag: CshAcct

Presence: [1..1]

Definition: Account in which cash is maintained.

The **CshAcct** block contains the following elements (see datatype "[CashAccountIdentification9Choice__1](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		28
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		28
	Proprietary	<Prtry>	Or}	[1..1]		28

6.1.2 ChargesAccount

XML Tag: ChrgsAcct

Presence: [1..1]

Definition: Account to be used for charges if different from the account for payment.

The **ChrgsAcct** block contains the following elements (see datatype "[CashAccountIdentification5Choice__1](#)" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		27
	Proprietary	<Prtry>	Or}	[1..1]		27

6.1.3 TaxAccount

XML Tag: TaxAcct

Presence: [1..1]

Definition: Account to be used for taxes if different from the account for payment.

The **TaxAcct** block contains the following elements (see datatype "CashAccountIdentification5Choice__1" on page 27 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		27
	Proprietary	<Prtry>	Or}	[1..1]		27

6.2 AccountAndBalance50__1

Definition: Provides account and balance information.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]		21
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	 	22
	ConfirmedBalance	<ConfdBal>		[1..1]		22

Rules

R17 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- Error severity: Warning
- Error Code: X00556
- Error Text: Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R18 SafekeepingAccountOrBlockchainAddress2Rule ✓

If BlockchainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00569
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R19 SafekeepingAccountOrBlockchainAddress3Rule ✓

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00570
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountDetails" on page 14

6.2.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 166

Usage Guideline details

- on seev.037.001.16/AccountDetails/SafekeepingAccount
Type changed to: RestrictedFINXMax35Text on page 166
- on seev.037.001.16/AccountDetails/SafekeepingAccount
 - **Applicability:**
true
 - **REDEML Trace:**
Participant Number
 - **REDEMG Trace:**
Participant Number
 - **REDEMA Trace:**
Participant Number
 - **REDEM X Trace:**
Participant Number
 - **REDEMC Trace:**

Participant Number

- on **seev.037.001.16/AccountDetails/SafekeepingAccount**

Synonym (DTCC): Participant ID

- on **seev.037.001.16/AccountDetails/SafekeepingAccount**

Comment:

DTCC assigned internal participant identification number.

6.2.2 BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: "RestrictedFINXMax140Text" on page 164

Usage Guideline details

- This element(**seev.037.001.16/AccountDetails/BlockChainAddressOrWallet**) is removed.

- on **seev.037.001.16/AccountDetails/BlockChainAddressOrWallet**

Type changed to: RestrictedFINXMax140Text on page 164

6.2.3 ConfirmedBalance

XML Tag: ConfdBal

Presence: [1..1]

Definition: Balance to which the payment applies (less or equal to the total eligible balance).

The **ConfdBal** block contains the following elements (see datatype "BalanceFormat11Choice__1" on page 24 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]	⚠	24
	EligibleBalance	<Elgb/Bal>	Or	[1..1]	🚫	25
	NotEligibleBalance	<NotElgb/Bal>	Or}	[1..1]	🚫	25

Usage Guideline details

- on **seev.037.001.16/AccountDetails/ConfirmedBalance**

– **Market Practice Usage Rule:**

Used for the confirmed balance that was sent on the movement confirmation.

- on **seev.037.001.16/AccountDetails/ConfirmedBalance**

– **Applicability:**
true

- on **seev.037.001.16/AccountDetails/ConfirmedBalance**

Synonym (DTCC): Confirmed Balance

6.3 AdditionalBusinessProcessFormat11Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		23
	Proprietary	<Prtry>	Or}	[1..1]	🚫	23

Used in element(s)

"AdditionalBusinessProcessIndicator" on page 34

6.3.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess7Code" on page 96

6.3.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemaName	<SchmeNm>		[0..1]		65

Usage Guideline details

- This element(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary) is removed.

6.4 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		24
	EligibleBalance	<Elgb/Bal>	Or	[1..1]		25
	NotEligibleBalance	<NotElgb/Bal>	Or}	[1..1]		25

Used in element(s)

"ConfirmedBalance" on page 22

6.4.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat11__1" on page 93 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		93
	QuantityChoice	<QtyChc>		[1..1]		93

Usage Guideline details

- on seev.037.001.16/AccountDetails/ConfirmedBalance/Balance
Synonym (DTCC): Position
- on seev.037.001.16/AccountDetails/ConfirmedBalance/Balance

Comment:

Represents confirmed eligible [for elections, allocations] balance for which a payment was made.

6.4.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 92 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		92
	Quantity	<Qty>		[1..1]		92

Usage Guideline details

- This element(seev.037.001.16/AccountDetails/ConfirmedBalance/EligibleBalance) is removed.

6.4.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10__1](#)" on page 92 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		92
	Quantity	<Qty>		[1..1]		92

Usage Guideline details

- This element(seev.037.001.16/AccountDetails/ConfirmedBalance/NotEligibleBalance) is removed.

6.5 BlockchainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Type	<Tp>		[0..1]		26
	Name	<Nm>		[0..1]		26

Used in element(s)

"BlockchainCashWallet" on page 28

6.5.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 157

6.5.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 62 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		62
	Issuer	<Issr>		[1..1]		63
	SchemeName	<SchmeNm>		[0..1]		63

6.5.3 Name

XML Tag: Nm

Presence: [0..1]

Definition: Description of the account.

Datatype: ["Max70Text" on page 159](#)

6.6 CashAccountIdentification5Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		27
	Proprietary	<Prtry>	Or}	[1..1]	⚠	27

Used in element(s)

["ChargesAccount" on page 19](#), ["TaxAccount" on page 20](#)

6.6.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: ["IBAN2007Identifier" on page 152](#)

6.6.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: ["RestrictedFINX2Max34Text" on page 164](#)

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/ChargesAccount/Proprietary
 Type changed to: [RestrictedFINX2Max34Text on page 164](#)
- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/Tax-Account/Proprietary
 Type changed to: [RestrictedFINX2Max34Text on page 164](#)

6.7 CashAccountIdentification9Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		28
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		28
	Proprietary	<Prtry>	Or}	[1..1]	⚠	28

Used in element(s)

"CashAccount" on page 19

6.7.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: "IBAN2007Identifier" on page 152

6.7.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlckChainCshWllt** block contains the following elements (see datatype "BlockChainAddressWallet3" on page 26 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - 🟡 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		26
	Type	<Tp>		[0..1]		26
	Name	<Nm>		[0..1]		26

6.7.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: ["RestrictedFINX2Max34Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account/CashAccount/Proprietary](#)

Type changed to: [RestrictedFINX2Max34Text on page 164](#)

6.8 CashOption109__1

Definition: Provides information about the cash option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		29
	Account	<Acct>		[0..1]		30
	PostingDate	<PstngDt>		[1..1]		30
	OriginalPostingDate	<OrgnlPstngDt>		[0..1]		31
	ValueDate	<ValDt>		[0..1]		31
	PostingAmount	<PstngAmt>		[1..1]		32

Used in element(s)

["CashMovementDetails" on page 48](#)

6.8.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or credit.

Datatype: ["CreditDebitCode" on page 149](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/CreditDebitIndicator](#)

– **Applicability:**
true

6.8.2 Account

XML Tag: Acct

Presence: [0..1]

Definition: Choice between a cash account, a charges account or a tax account.

The **Acct** block contains the following elements (see datatype "Account10Choice__1" on page 19 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashAccount	<CshAcct>	{Or	[1..1]		19
	ChargesAccount	<ChrgsAcct>	Or	[1..1]		19
	TaxAccount	<TaxAcct>	Or}	[1..1]		20

Usage Guideline details

- This element(seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Account) is removed.

6.8.3 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date/Time of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 52 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		52
	DateTime	<DtTm>	Or}	[1..1]		52

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PostingDate
 - **Applicability:**
true
- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PostingDate
Synonym (DTCC): Reversal Date

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/PostingDate

Comment:

Date when a reversal is effective.

6.8.4 OriginalPostingDate

XML Tag: OrgnlPstngDt

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

The **OrgnlPstngDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 52 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		52
	DateTime	<DtTm>	Or}	[1..1]		52

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OriginalPostingDate

- **Market Practice Usage Rule:**

Used for the allocation date of the message that is being reversed.

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OriginalPostingDate

- **Applicability:**
true

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OriginalPostingDate

Synonym (DTCC): DTC Allocation Date and Time

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/OriginalPostingDate

Comment:

Date and Time when allocation was made by DTC (The Depository Trust Company)

6.8.5 ValueDate

XML Tag: ValDt

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

Datatype: "ISODate" on page 153

Usage Guideline details

- This element(seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/ValueDate) is removed.

6.8.6 PostingAmount

XML Tag: PstngAmt

Presence: [1..1]

Definition: Amount of money that is to be/was posted to the account.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 162

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Posting-Amount
Type changed to: RestrictedFINActiveCurrencyAndAmount on page 162
- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Posting-Amount
 - Market Practice Usage Rule:
Quantity that was posted in the movement confirmation and is now being reversed.
- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Posting-Amount
 - Applicability:
true
- on seev.037.001.16/CorporateActionConfirmationDetails/CashMovementDetails/Posting-Amount
Synonym (DTCC): Reversed Cash Amount

6.9 CorporateAction70__1

Definition: Provides information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		33
	EventStage	<EvtStag>		[0..1]		33

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..1]		34
	IntermediateSecuritiesDistributionType	<IntrmdtScitiesDstrbtnTp>		[0..1]		34
	LotteryType	<LtryTp>		[0..1]		34

Used in element(s)

"CorporateActionDetails" on page 14

6.9.1 DateDetails

XML Tag: DtDtIs

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

The **DtDtIs** block contains the following elements (see datatype "[CorporateActionCode86__1](#)" on page 35 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		35
	ExDividendDate	<ExDvddDt>		[0..1]		36

6.9.2 EventStage

XML Tag: EvtStag

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "[CorporateActionEventStageFormat14Choice__1](#)" on page 39 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		39
	Proprietary	<Prtry>	Or}	[1..1]		39

Usage Guideline details

- on [seev.037.001.16/CorporateActionDetails/EventStage](#)
 - Applicability:

true

– **Redemption Rule:**

Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.

– **REDEML Trace:**

Lottery Type

6.9.3 AdditionalBusinessProcessIndicator

XML Tag: AddtlBizPrclnd

Presence: [0..1]

Definition: Indicates that the additional business process relates to a claim on the associated corporate action event.

The **AddtlBizPrclnd** block contains the following elements (see datatype "[AdditionalBusinessProcessFormat11Choice_1](#)" on page 23 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		23
	Proprietary	<Prtry>	Or}	[1..1]	🚫	23

6.9.4 IntermediateSecuritiesDistributionType

XML Tag: IntrmdtSctiesDstrbtp

Presence: [0..1]

Definition: Type of intermediates securities distribution.

The **IntrmdtSctiesDstrbtp** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat15Choice_1](#)" on page 70 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

6.9.5 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice__1](#)" on page 71 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]		71

Usage Guideline details

- on [seev.037.001.16/CorporateActionDetails/LotteryType](#)
 - **Applicability:**
true
 - **Redemption Rule:**
Mapped from DTCC Lottery Type data element. Mapping is without conditions. The following codes are applicable: Original and Supplemental.
 - **REDEML Trace:**
Lottery Type
- on [seev.037.001.16/CorporateActionDetails/LotteryType](#)
Synonym (DTCC): Lottery Type

6.10 CorporateActionDate86__1

Definition: Specifies corporate action dates.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		35
	ExDividendDate	<ExDvddDt>		[0..1]		36

Used in element(s)

["DateDetails" on page 33](#)

6.10.1 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 53 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		54
	DateCode	<DtCd>	Or}	[1..1]	🚫	54

Usage Guideline details

- on **seev.037.001.16/CorporateActionDetails/DateDetails/RecordDate**
 - **Applicability:**
true
 - **REDEML Trace:**
As of Date
 - **Redemption Rule:**
Calculated as the business day prior to Publication Date.
- on **seev.037.001.16/CorporateActionDetails/DateDetails/RecordDate**
Synonym (DTCC): Record Date

6.10.2 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "DateFormat30Choice__1" on page 53 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		54
	DateCode	<DtCd>	Or}	[1..1]	🚫	54

Usage Guideline details

- on **seev.037.001.16/CorporateActionDetails/DateDetails/ExDividendDate**
Synonym (DTCC): Ex Date

6.11 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]		37
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		37

Used in element(s)

["EventIdentification" on page 38](#)

6.11.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtId

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 164](#)

6.11.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 164](#)

6.12 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		38
	LinkageType	<LkgTp>		[0..1]		38

Used in element(s)

"EventsLinkage" on page 12

6.12.1 EventIdentification

XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "[CorporateActionEventReference3Choice__1](#)" on page 37 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or	[1..1]	⚠	37
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]	⚠	37

6.12.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on page 80 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		81
	Proprietary	<Prtry>	Or}	[1..1]		81

6.13 CorporateActionEventStageFormat14Choice__1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		39
	Proprietary	<Prtry>	Or}	[1..1]	🚫	39

Used in element(s)

"EventStage" on page 33

6.13.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage4Code" on page 103

6.13.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

Usage Guideline details

- This element(seev.037.001.16/CorporateActionDetails/EventStage/Proprietary) is removed.

6.14 CorporateActionEventType109Choice__1

Definition: Choice between formats to express the corporate event type.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		40
	Proprietary	<Prtry>	Or}	[1..1]		40

Rules

R10 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Used in element(s)

"EventType" on page 43

6.14.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: "CorporateActionEventType37Code" on page 104

6.14.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]		64

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		65

Usage Guideline details

- This element(seev.037.001.16/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

6.15 CorporateActionGeneralInformation179__1

Definition: General information about the corporate action event.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		41
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		42
	ClassActionNumber	<ClsActnNb>		[0..1]	 	42
	EventType	<EvtTp>		[1..1]		43
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		43
	FractionalQuantity	<FrctnlQty>		[0..1]		44

Rules

R9 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

"CorporateActionGeneralInformation" on page 13

6.15.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 164](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Synonym (DTCC): CA ID
- on [seev.037.001.16/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Comment:
DTC unique identifier of the corporate action event.

6.15.2 OfficialCorporateActionEventIdentification

XML Tag: `OffclCorpActnEvtId`

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 164](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
– **Market Practice Usage Rule:**
Provided for DTC Eligible securities only.
- on [seev.037.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Synonym (DTCC): Official Reference CA ID
- on [seev.037.001.16/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Comment:
Official corporate action unique identifier.

6.15.3 ClassActionNumber

XML Tag: `ClassActnNb`

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- This element([seev.037.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)) is removed.
- on [seev.037.001.16/CorporateActionGeneralInformation/ClassActionNumber](#)
Type changed to: [RestrictedFINXMax16Text on page 164](#)

6.15.4 EventType

XML Tag: EvtTp

Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype ["CorporateActionEvent-Type109Choice__1" on page 39](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		40
	Proprietary	<Prtry>	Or}	[1..1]		40

Usage Guideline details

- on [seev.037.001.16/CorporateActionGeneralInformation/EventType](#)
Synonym (DTCC): Event Type
- on [seev.037.001.16/CorporateActionGeneralInformation/EventType](#)
Comment:
Type of corporate action event. (e.g., Exchange Offer, Final Paydown).

6.15.5 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the security concerned by the corporate action.

The **FinInstrmId** block contains the following elements (see datatype ["SecurityIdentification19__1" on page 89](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]	⚠	90
	OtherIdentification	<OthrId>		[0..*]		91
	Description	<Desc>		[0..1]	⚠	91

Usage Guideline details

- on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification**
 - **Applicability:**
true
 - **REDEML Trace:**
Redemption Contra-CUSIP
 - **Redemption Rule:**
Redemption Contra-CUSIP can also be mapped here if the event is announced by DTC 'on' the Contra CUSIP.
 - **REDEMG Trace:**
Redemption CUSIP Number
 - **REDEMA Trace:**
CUSIP
 - **REDEMX Trace:**
CUSIP
 - **REDEMC Trace:**
CUSIP
- on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification**
Synonym: Event Security ID Type
- on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification**
Synonym (DTCC): Event Security ID
- on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification**
Comment:
Security Identifier and type of the event issue.

6.15.6 FractionalQuantity

XML Tag: FrctnlQty

Presence: [0..1]

Definition: Fractional quantity resulting from an event which will be paid with cash in lieu.

The **FrctnlQty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 59 for details)

⓪ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		60
	FaceAmount	<FaceAmt>	Or	[1..1]		60
	AmortisedValue	<AmtsdVal>	Or	[1..1]		61
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		62

Usage Guideline details

- This element(seev.037.001.16/CorporateActionGeneralInformation/FractionalQuantity) is removed.

6.16 CorporateActionNarrative31__1

Definition: Provides additional information such as the taxation conditions.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..*]		45
	NarrativeVersion	<NrtrvVrsn>		[0..*]		45
	PartyContactNarrative	<PtyCtctNrtrv>		[0..*]		46
	TaxationConditions	<TaxtnConds>		[0..*]		46

Used in element(s)

"AdditionalInformation" on page 15

6.16.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..*]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

Datatype: "RestrictedFINXMax350Text" on page 166

Usage Guideline details

- on seev.037.001.16/AdditionalInformation/AdditionalText
Type changed to: RestrictedFINXMax350Text on page 166

6.16.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..*]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

Datatype: ["RestrictedFINXMax350Text" on page 166](#)

Usage Guideline details

- on [seev.037.001.16/AdditionalInformation/NarrativeVersion](#)
Type changed to: [RestrictedFINXMax350Text on page 166](#)

6.16.3 PartyContactNarrative

XML Tag: PtyCtctNrrtv

Presence: [0..*]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

Datatype: ["RestrictedFINXMax350Text" on page 166](#)

Usage Guideline details

- on [seev.037.001.16/AdditionalInformation/PartyContactNarrative](#)
Type changed to: [RestrictedFINXMax350Text on page 166](#)

6.16.4 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..*]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

Datatype: ["RestrictedFINXMax350Text" on page 166](#)

Usage Guideline details

- on [seev.037.001.16/AdditionalInformation/TaxationConditions](#)
Type changed to: [RestrictedFINXMax350Text on page 166](#)

6.17 CorporateActionOption240__1

Definition: Provides information about the corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		47
	OptionType	<OptnTp>		[1..1]		47
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		48
	CashMovementDetails	<CshMvmntDtls>		[0..*]		48

Used in element(s)

"CorporateActionConfirmationDetails" on page 15

6.17.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

The **OptnNb** block contains the following elements (see datatype "OptionNumber1Choice" on page 73 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		73
	Code	<Cd>	Or}	[1..1]		74

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/OptionNumber
Synonym (DTCC): Option Number
- on seev.037.001.16/CorporateActionConfirmationDetails/OptionNumber
Comment:
Number that uniquely identifies an option within an event.

6.17.2 OptionType

XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "CorporateActionOption33Choice__1" on page 49 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

Usage Guideline details

- on **seev.037.001.16/CorporateActionConfirmationDetails/OptionType**

- **Market Practice Usage Rule:**

- Same type will be used as in announcement.

- on **seev.037.001.16/CorporateActionConfirmationDetails/OptionType**

- Synonym (DTCC):** Option Type

- on **seev.037.001.16/CorporateActionConfirmationDetails/OptionType**

- Comment:**

- Describes the type of option associated with the event (e.g., retain, sell).

6.17.3 SecuritiesMovementDetails

XML Tag: SctiesMvmntDtls

Presence: [0..*]

Definition: Provides information about the securities movement linked to the corporate action option.

The **SctiesMvmntDtls** block contains the following elements (see datatype "SecuritiesOption80__1" on page 85 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		86
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		87
	PostingQuantity	<PstngQty>		[1..1]		87
	PostingDate	<PstngDt>		[1..1]		88
	OriginalPostingDate	<OrgnlPstngDt>		[0..1]		88

6.17.4 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..*]

Definition: Provides information about the cash movement related to a corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "CashOption109__1" on page 29 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		29
	Account	<Acct>		[0..1]		30
	PostingDate	<PstngDt>		[1..1]		30
	OriginalPostingDate	<OrgnlPstngDt>		[0..1]		31
	ValueDate	<ValDt>		[0..1]		31
	PostingAmount	<PstngAmt>		[1..1]		32

6.18 CorporateActionOption33Choice__1

Definition: Choice between a standard code or a proprietary code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		49
	Proprietary	<Prtry>	Or}	[1..1]		49

Used in element(s)

"OptionType" on page 47

6.18.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Option type expressed as a code.

Datatype: "CorporateActionOption12Code" on page 136

6.18.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Option type expressed as a proprietary identification.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

Usage Guideline details

- This element(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary) is removed.

6.19 CorporateActionReversalReason10Choice__1

Definition: Choice between a standard code or proprietary code to specify the reason for the reversal.

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		50
	Proprietary	<Prtry>	Or}	[1..1]	ⓧ	50

Used in element(s)

"Reason" on page 51

6.19.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 147

6.19.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

Usage Guideline details

- This element(seev.037.001.16/ReversalReason/Reason/Proprietary) is removed.

6.20 CorporateActionReversalReason8__1

Definition: Specifies the reason why the corporate action reversal occurs.

⛔ Removed - ⚠ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Reason	<Rsn>		[1..1]		51
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	⛔ ⚠	52

Rules

R8 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

"ReversalReason" on page 12

6.20.1 Reason

XML Tag: Rsn

Presence: [1..1]

Definition: Specifies the reason for the reversal.

The **Rsn** block contains the following elements (see datatype "CorporateActionReversalReason10Choice__1" on page 50 for details)

⛔ Removed - ⚠ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		50

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		50

6.20.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf

Presence: [0..1]

Definition: Provides additional information about the processed instruction.

Datatype: "RestrictedFINXMax256Text" on page 165

Usage Guideline details

- This element(seev.037.001.16/ReversalReason/AdditionalReasonInformation) is removed.
 - on seev.037.001.16/ReversalReason/AdditionalReasonInformation
- Type changed to: RestrictedFINXMax256Text on page 165

6.21 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		52
	DateTime	<DtTm>	Or}	[1..1]		52

Used in element(s)

"OriginalPostingDate" on page 88, "OriginalPostingDate" on page 31, "PostingDate" on page 88, "PostingDate" on page 30

6.21.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: "ISODate" on page 153

6.21.2 DateTime

XML Tag: DtTm

Presence: [1..1]

Definition: Specified date and time.

Datatype: "ISODateTime" on page 154

6.22 DateCode19Choice__1

Definition: Choice between a code or a proprietary code for a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		53
	Proprietary	<Prtry>	Or}	[1..1]		53

Used in element(s)

"DateCode" on page 54

6.22.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: "DateType8Code" on page 150

6.22.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

6.23 DateFormat30Choice__1

Definition: Choice between an ISODate format or a date code.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		54
	DateCode	<DtCd>	Or}	[1..1]		54

Used in element(s)

"ExDividendDate" on page 36, "RecordDate" on page 35

6.23.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 153

6.23.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode19Choice__1" on page 53 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		53
	Proprietary	<Prtry>	Or}	[1..1]		53

Usage Guideline details

- This element(seev.037.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode) is removed.
- This element(seev.037.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode) is removed.

6.24 DocumentIdentification31__1

Definition: Identification of a document and type of link.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		55
	LinkageType	<LkgTp>		[0..1]		55

Used in element(s)

"MovementConfirmationIdentification" on page 11

6.24.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 164

Usage Guideline details

- on [seev.037.001.16/MovementConfirmationIdentification/Identification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 164

6.24.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "ProcessingPosition7Choice__1" on page 80 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		81
	Proprietary	<Prtry>	Or}	[1..1]		81

Usage Guideline details

- This element([seev.037.001.16/MovementConfirmationIdentification/LinkageType](#)) is removed.

6.25 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		56
	DocumentNumber	<DocNb>		[0..1]		56
	LinkageType	<LkgTp>		[0..1]		57

Used in element(s)

"OtherDocumentIdentification" on page 11

6.25.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "DocumentIdentification3Choice__1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		57
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		58

6.25.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "DocumentNumber5Choice__1" on page 58 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		58
	LongNumber	<LngNb>	Or	[1..1]		58
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		59

6.25.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on page 80 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		81
	Proprietary	<Prtry>	Or}	[1..1]		81

6.26 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		57
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		58

Used in element(s)

"[Identification](#)" on page 56

6.26.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "[RestrictedFINXMax16Text](#)" on page 164

Usage Guideline details

- on [seev.037.001.16/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text](#) on page 164

6.26.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: ["RestrictedFINXMax16Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 164](#)

6.27 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		58
	LongNumber	<LngNb>	Or	[1..1]		58
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		59

Rules

R7 ShortLongNumberRule

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

["DocumentNumber" on page 56](#)

6.27.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: ["Exact3NumericText" on page 151](#)

6.27.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: "ISO20022MessageIdentificationText" on page 153

6.27.3 ProprietaryNumber

XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.

The **PrtryNb** block contains the following elements (see datatype "GenericIdentification36__1" on page 66 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		66
	Issuer	<Issr>		[1..1]		66
	SchemeName	<SchmeNm>		[0..1]		67

6.28 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		60
	FaceAmount	<FaceAmt>	Or	[1..1]		60
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	61
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		62

Rules

R16 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

"FractionalQuantity" on page 44, "Quantity" on page 83, "Quantity" on page 92, "Quantity" on page 84

6.28.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 162](#)

Usage Guideline details

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 162](#)
- on [seev.037.001.16/AccountDetails/ConfirmedBalance/EligibleBalance/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 162](#)
- on [seev.037.001.16/AccountDetails/ConfirmedBalance/NotEligibleBalance/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 162](#)
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 162](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/FractionalQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 162](#)
- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/Unit](#)
 - Market Practice Usage Rule:
Used for non debt securities.
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/Unit](#)
 - Market Practice Usage Rule:
Used for non debt securities.

6.28.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 163](#)

Usage Guideline details

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/CorporateActionGeneralInformation/FractionalQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

– Market Practice Usage Rule:

Used for debt securities.

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/FaceAmount](#)

– Market Practice Usage Rule:

Used for debt securities.

6.28.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 163](#)

Usage Guideline details

- This element([seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)) is removed.
- This element([seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue](#)) is removed.
- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/Quantity/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)
- on [seev.037.001.16/AccountDetails/ConfirmedBalance/EligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/NotEligibleBalance/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

- on [seev.037.001.16/CorporateActionGeneralInformation/FractionalQuantity/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 163](#)

6.28.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 158](#)

Usage Guideline details

- This element([seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/Quantity-Choice/Quantity/DigitalTokenUnit](#)) is removed.
- This element([seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity/DigitalTokenUnit](#)) is removed.

6.29 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		62
	Issuer	<Issr>		[1..1]		63
	SchemeName	<SchmeNm>		[0..1]		63

Used in element(s)

["Type" on page 26](#)

6.29.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 151](#)

6.29.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max35Text" on page 158](#)

6.29.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max35Text" on page 158](#)

6.30 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]		64
	SchemeName	<SchmeNm>		[0..1]		65

Used in element(s)

["Proprietary" on page 81](#),
 ["Proprietary" on page 50](#),
 ["Proprietary" on page 40](#),
 ["Proprietary" on page 53](#),
 ["Proprietary" on page 39](#),
 ["Proprietary" on page 23](#),
 ["Proprietary" on page 70](#),
 ["Proprietary" on page 71](#),
 ["Proprietary" on page 49](#)

6.30.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["Exact4AlphaNumericText" on page 151](#)

6.30.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 158

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/EventStage/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionDetails/LotteryType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/CorporateActionGeneralInformation/EventType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/MovementConfirmationIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)
- on seev.037.001.16/ReversalReason/Reason/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 158](#)

6.30.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/EventStage/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/IntermediateSecuritiesDistributionType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionDetails/LotteryType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/EventsLinkage/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/MovementConfirmationIdentification/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/ReversalReason/Reason/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 158](#)

6.31 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		66
	Issuer	<Issr>		[1..1]		66
	SchemeName	<SchmeNm>		[0..1]		67

Used in element(s)

"ProprietaryNumber" on page 59

6.31.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax30Text" on page 165](#)

Usage Guideline details

- on [seev.037.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification](#)

Type changed to: [RestrictedFINXMax30Text on page 165](#)

6.31.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 158](#)

6.31.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 158](#)

6.32 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		67
	Issuer	<Issr>		[1..1]		68
	SchemeName	<SchmeNm>		[0..1]		68

Used in element(s)

["ProprietaryIdentification" on page 77](#)

6.32.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax34Text" on page 166](#)

Usage Guideline details

- on [seev.037.001.16/IssuerAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 166](#)
- on [seev.037.001.16/PayingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 166](#)
- on [seev.037.001.16/SubPayingAgent/ProprietaryIdentification/Identification](#)

Type changed to: [RestrictedFINXMax34Text on page 166](#)

6.32.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/IssuerAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/PayingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/SubPayingAgent/ProprietaryIdentification/Issuer](#)
Type changed to: [Max4AlphaNumericText on page 158](#)

6.32.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/IssuerAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/PayingAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)
- on [seev.037.001.16/SubPayingAgent/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText on page 158](#)

6.33 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		69
	Proprietary	<Prtry>	Or}	[1..1]		69

Used in element(s)

["Type" on page 76](#)

6.33.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: ["ExternalFinancialInstrumentIdentificationType1Code" on page 151](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Code](#)
 - **DTCC Financial Instrument Identification Rule:**
DTCC will only use CUSIP.
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Code](#)
 - **Market Practice Usage Rule:**
ExternalFinancialIdentifierCode must be used.

6.33.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["RestrictedFINExact2Text" on page 163](#)

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 163](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 163](#)

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
 - **Market Practice Usage Rule:**
Used when the security identifier type is a Contra CUSIP or unknown populated with "XX".
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
 - **Market Practice Usage Rule:**
Used when the security identifier type is a Contra CUSIP. Populated with "XX".

6.34 IntermediateSecuritiesDistributionTypeFormat15Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		70
	Proprietary	<Prtry>	Or}	[1..1]		70

Used in element(s)

"IntermediateSecuritiesDistributionType" on page 34

6.34.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: ["IntermediateSecurityDistributionType5Code" on page 154](#)

6.34.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 63](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

6.35 LotteryTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		71
	Proprietary	<Prtry>	Or}	[1..1]	🚫	71

Used in element(s)

"LotteryType" on page 34

6.35.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "LotteryType1Code" on page 156

6.35.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

🚫 Removed - 🚫 Partial Removed - 🔹 Ignored - 🔹 Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]	⚠	64
	SchemeName	<SchmeNm>		[0..1]	⚠	65

Usage Guideline details

- This element([seev.037.001.16/CorporateActionDetails/LotteryType/Proprietary](#)) is removed.

6.36 NameAndAddress5__1

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		72
	Address	<Adr>		[0..1]		72

Used in element(s)

["NameAndAddress" on page 78](#)

6.36.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["RestrictedFINXMax140Text" on page 164](#)

Usage Guideline details

- on [seev.037.001.16/IssuerAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 164](#)
- on [seev.037.001.16/PayingAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 164](#)
- on [seev.037.001.16/SubPayingAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text on page 164](#)

6.36.2 Address

XML Tag: Adr

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype ["PostalAddress1" on page 78](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		79
	AddressLine	<AdrLine>		[0..5]		79
	StreetName	<StrtNm>		[0..1]		79
	BuildingNumber	<BldgNb>		[0..1]		79
	PostCode	<PstCd>		[0..1]		79
	TownName	<TwnNm>		[0..1]		80
	CountrySubDivision	<CtrySubDvsn>		[0..1]		80
	Country	<Ctry>		[1..1]		80

Usage Guideline details

- This element(seev.037.001.16/IssuerAgent/NameAndAddress/Address) is removed.
- This element(seev.037.001.16/PayingAgent/NameAndAddress/Address) is removed.
- This element(seev.037.001.16/SubPayingAgent/NameAndAddress/Address) is removed.

6.37 OptionNumber1Choice

Definition: Choice between an number and an option number in a code format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Number	<Nb>	{Or	[1..1]		73
	Code	<Cd>	Or}	[1..1]		74

Used in element(s)

"OptionNumber" on page 47

6.37.1 Number

XML Tag: Nb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 151

6.37.2 Code

XML Tag: Cd

Presence: [1..1]

Definition: Code identifying special corporate action option numbers.

Datatype: "OptionNumber1Code" on page 159

6.38 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		74
	AmortisedValue	<AmtsdVal>		[1..1]		74

Used in element(s)

"OriginalAndCurrentFace" on page 85

6.38.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 163

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/FaceAmount

Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 163

6.38.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 163

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 163

6.39 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		75
	Suffix	<Sfx>		[0..1]		76
	Type	<Tp>		[1..1]		76

Used in element(s)

["OtherIdentification"](#) on page 91

6.39.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text"](#) on page 165

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text](#) on page 165
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Type changed to: [RestrictedFINXMax31Text](#) on page 165
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 - **Market Practice Usage Rule:**

When the security identifier is not known '999999999' will be used.
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification](#)

– **Market Practice Usage Rule:**

When the security identifier is not known '999999999' will be used.

- on **seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification**

Synonym (DTCC): DTC Disbursed Security ID

- on **seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Identification**

Synonym (DTCC): DTC Disbursed Fractional Security ID

- on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Identification**

Synonym (DTCC): Event Security ID

6.39.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 157

Usage Guideline details

- This element(**seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix**) is removed.
- This element(**seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Suffix**) is removed.

6.39.3 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "**IdentificationSource3Choice__1**" on page 68 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		69
	Proprietary	<Prtry>	Or}	[1..1]		69

Usage Guideline details

- on `seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type`
 - **Financial Instrument Identification Rule:**
DTCC will only use CUSIP.
- on `seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type`
Synonym (DTCC): DTC Disbursed Fractional Security ID Type
- on `seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/OtherIdentification/Type`
Synonym (DTCC): DTC Disbursed Security ID Type
- on `seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/OtherIdentification/Type`
Synonym (DTCC): Event Security ID Type

6.40 PartyIdentification120Choice__1

Definition: Choice between different formats for the identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		77
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		77
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		78

Used in element(s)

"IssuerAgent" on page 16, "PayingAgent" on page 16, "SubPayingAgent" on page 17

6.40.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 102

6.40.2 ProprietaryIdentification

XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 67 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	67
	Issuer	<Issr>		[1..1]	⚠	68
	SchemeName	<SchmeNm>		[0..1]	⚠	68

6.40.3 NameAndAddress

XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5__1" on page 72 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]	⚠	72
	Address	<Adr>		[0..1]	🚫	72

6.41 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		79
	AddressLine	<AdrLine>		[0..5]		79
	StreetName	<StrtNm>		[0..1]		79
	BuildingNumber	<BldgNb>		[0..1]		79
	PostCode	<PstCd>		[0..1]		79
	TownName	<TwnNm>		[0..1]		80

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountrySubDivision	<CtrySubDvsn>		[0..1]		80
	Country	<Ctry>		[1..1]		80

Used in element(s)

["Address" on page 72](#)

6.41.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: ["AddressType2Code" on page 101](#)

6.41.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: ["Max70Text" on page 159](#)

6.41.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: ["Max70Text" on page 159](#)

6.41.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: ["Max16Text" on page 157](#)

6.41.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: ["Max16Text" on page 157](#)

6.41.6 TownName

XML Tag: TwnNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 158

6.41.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 158

6.41.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 149

6.42 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		81
	Proprietary	<Prtry>	Or}	[1..1]	🚫	81

Rules

R6 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

"LinkageType" on page 55, "LinkageType" on page 57, "LinkageType" on page 38

6.42.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 160

6.42.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 63 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		63
	Issuer	<Issr>		[1..1]		64
	SchemeName	<SchmeNm>		[0..1]		65

Usage Guideline details

- This element(seev.037.001.16/MovementConfirmationIdentification/LinkageType/Proprietary) is removed.

6.43 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		82
	QuantityType	<QtyTp>		[1..1]		82
	Issuer	<Issr>		[1..1]		82
	SchemeName	<SchmeNm>		[0..1]		82

Used in element(s)

"ProprietaryQuantity" on page 83

6.43.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: ["RestrictedFINDecimalNumber" on page 162](#)

Usage Guideline details

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 162](#)

6.43.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 151](#)

6.43.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 158](#)

6.43.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 158](#)

Usage Guideline details

- on [seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 158](#)

6.44 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		83
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		83

Used in element(s)

["QuantityChoice" on page 93](#)

6.44.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice__1" on page 59](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		60
	FaceAmount	<FaceAmt>	Or	[1..1]		60
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	61
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		62

6.44.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype ["ProprietaryQuantity8__1" on page 81](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	82
	QuantityType	<QtyTp>		[1..1]		82
	Issuer	<Issr>		[1..1]	⚠	82
	SchemeName	<SchmeNm>		[0..1]	⚠	82

Usage Guideline details

- This element(seev.037.001.16/AccountDetails/ConfirmedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

6.45 Quantity51Choice__1

Definition: Choice of format for the quantity.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]	⚠	84
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]	ⓧ	85

Used in element(s)

"PostingQuantity" on page 87

6.45.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 59 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	60
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	60
	AmortisedValue	<AmtsdVal>	Or	[1..1]	ⓧ ⚠	61
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]	ⓧ	62

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/Quantity](#)
 - **Market Practice Usage Rule:**
Used as defined in message.

6.45.2 OriginalAndCurrentFace

XML Tag: OrgnlAndCurFace

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1__1](#)" on page 74 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		74
	AmortisedValue	<AmtsdVal>		[1..1]		74

Usage Guideline details

- This element([seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity/OriginalAndCurrentFace](#)) is removed.

6.46 SecuritiesOption80__1

Definition: Provides information about the corporate action security option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		86
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		87
	PostingQuantity	<PstngQty>		[1..1]		87
	PostingDate	<PstngDt>		[1..1]		88
	OriginalPostingDate	<OrgnlPstngDt>		[0..1]		88

Used in element(s)

"SecuritiesMovementDetails" on page 48

6.46.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "SecurityIdentification19__1" on page 89 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		90
	OtherIdentification	<OthrId>		[0..*]		91
	Description	<Desc>		[0..1]	 	91

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**
 - Market Practice Usage Rule:**

Disbursed Fractional and Disbursed are mutually exclusive and may never exist on the same event.
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**
 - Applicability:**

true
 - Redemption Rule:**

Contra CUSIP is mapped to this tag when event distributes into Contra CUSIP.
 - REDEML Trace:**

Redemption Contra CUSIP
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

Synonym (DTCC): DTC Disbursed Security ID and Type
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

Synonym (DTCC): DTC Disbursed Fractional Security ID and Type
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification**

Comment:

Security identifier and type that will be paid / drawn.

6.46.2 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: ["CreditDebitCode"](#) on page 149

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Credit-DebitIndicator](#)
 - **Applicability:**
true

6.46.3 PostingQuantity

XML Tag: PstngQty

Presence: [1..1]

Definition: Quantity of securities that have been posted (credit or debit) to the safekeeping account.

The **PstngQty** block contains the following elements (see datatype ["Quantity51Choice__1"](#) on page 84 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		84
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		85

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity](#)
 - **Market Practice Usage Rule:**

Quantity that was posted in the movement confirmation and is now being reversed.
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity](#)
 - **Applicability:**
true
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingQuantity](#)

Synonym (DTCC): Reversed Securities Quantity

6.46.4 PostingDate

XML Tag: PstngDt

Presence: [1..1]

Definition: Date of the posting (credit or debit) to the account.

The **PstngDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		52
	DateTime	<DtTm>	Or}	[1..1]		52

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingDate](#)
 - **Applicability:**
true
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingDate](#)
Synonym (DTCC): Reversal Date
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/PostingDate](#)
Comment:
Date when a reversal is effective.

6.46.5 OriginalPostingDate

XML Tag: OrgnlPstngDt

Presence: [0..1]

Definition: Date/Time of the posting (credit or debit) to the account that was initially communicated in the confirmation.

The **OrgnlPstngDt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 52 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		52
	DateTime	<DtTm>	Or}	[1..1]		52

Usage Guideline details

- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Original-PostingDate
 - **Market Practice Usage Rule:**

Used for the allocation date of the message that is being reversed.
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Original-PostingDate
 - **Applicability:**

true
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Original-PostingDate

Synonym (DTCC): DTC Allocation Date and Time
- on seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/Original-PostingDate

Comment:
Date and Time when allocation was made by DTC (The Depository Trust Company)

6.47 SecurityIdentification19__1

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		90
	OtherIdentification	<OthrId>		[0..*]		91
	Description	<Desc>		[0..1]	 	91

Rules

R11 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R12 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R13 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R14 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R15 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 43, "FinancialInstrumentIdentification" on page 86

6.47.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 153

Usage Guideline details

- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/ISIN](#)

– **Market Practice Usage Rule:**

Used when security identifier ISIN is available.

• on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/ISIN**

– **Market Practice Implementation Rules:**

Security ID Type will not be mapped in this case because this tag assumes it is ISIN.

• on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/ISIN**

– **Market Practice Usage Rule:**

Used when security identifier ISIN is available.

• on **seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/ISIN**

Synonym (DTCC): DTC Disbursed Fractional Security ID Type (ISIN)

• on **seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/ISIN**

Synonym (DTCC): DTC Disbursed Security ID Type (ISIN)

• on **seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/ISIN**

Synonym (DTCC): Event Security Type (ISIN)

6.47.2 OtherIdentification

XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "[OtherIdentification1__1](#)" on page 75 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	75
	Suffix	<Sfx>		[0..1]	🚫	76
	Type	<Tp>		[1..1]	⚠	76

6.47.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: ["RestrictedFINXMax140Text" on page 164](#)

Usage Guideline details

- This element([seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)) is removed.
- on [seev.037.001.16/CorporateActionConfirmationDetails/SecuritiesMovementDetails/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 164](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text on page 164](#)
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Event Security Description
- on [seev.037.001.16/CorporateActionGeneralInformation/FinancialInstrumentIdentification/Description](#)
Comment:
Description of the security.

6.48 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		92
	Quantity	<Qty>		[1..1]		92

Used in element(s)

["EligibleBalance" on page 25](#), ["NotEligibleBalance" on page 25](#)

6.48.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 167](#)

6.48.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice__1](#)" on page 59 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		60
	FaceAmount	<FaceAmt>	Or	[1..1]		60
	AmortisedValue	<AmtsdVal>	Or	[1..1]		61
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		62

6.49 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		93
	QuantityChoice	<QtyChc>		[1..1]		93

Used in element(s)

"Balance" on page 24

6.49.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "[ShortLong1Code](#)" on page 167

6.49.2 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "[Quantity48Choice__1](#)" on page 83 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		83
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	83

6.50 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	94
	Envelope	<Envlp>		[1..1]		94

Rules

R5 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 17

6.50.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 158

Usage Guideline details

- This element(seev.037.001.16/SupplementaryData/PlaceAndName) is removed.

6.50.2 Envelope

XML Tag: Envlp

Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

6.51 TransactionIdentification15__1

Definition: Provides transaction identification information.

❌ Removed - ❌ Partial Removed - ✦ Ignored - ✦ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketInfrastructureTransactionIdentification	<MktInfrstrctrTxId>		[1..1]	⚠️	95

Used in element(s)

"TransactionIdentification" on page 13

6.51.1 MarketInfrastructureTransactionIdentification

XML Tag: MktInfrstrctrTxId

Presence: [1..1]

Definition: Identification of a transaction assigned by a market infrastructure other than a central securities depository, for example, Target2-Securities.

Datatype: "RestrictedFINMax35Text" on page 163

Usage Guideline details

- on [seev.037.001.16/TransactionIdentification/MarketInfrastructureTransactionIdentification](#)
 Type changed to: RestrictedFINMax35Text on page 163

7 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

7.1 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R21 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in attribute(s)

["RestrictedFINActiveCurrencyAndAmount" on page 162](#)

Restricts

["ParentCurrencyCode" on page 160](#)

7.2 AdditionalBusinessProcess7Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/AutomaticMarketClaim) is removed.
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SubjectToTransformation) is removed.
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/ClaimOrCompensation) is removed.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/CancelUnderlyingTrades) is removed.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Consent) is removed.
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoAutomaticMarketClaimsAndTransformations) is removed.
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NoSplitElection) is removed.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will

Code	Name	Definition
		be used to determine eligibility for the event. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/Partial-MandatoryPutRedemption) is removed.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/SchemeOrPlanOfArrangement) is removed.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/TaxRefund) is removed.

Used in element(s)

["Code" on page 23](#)

Restricts

["AdditionalBusinessProcessCode" on page 99](#)

7.3 AdditionalBusinessProcessCode

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.

Code	Name	Definition
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

Is restricted by

["AdditionalBusinessProcess7Code" on page 96](#)

7.4 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

["AddressType" on page 79](#)

Restricts

["AddressTypeCode" on page 101](#)

7.5 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

"AddressType2Code" on page 101

7.6 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

"CreditDebitCode" on page 149

7.7 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules

R23 AnyBIC ✓

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 77

7.8 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

Code	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

Used in element(s)

"Code" on page 39

Restricts

"CorporateActionEventStageV2Code" on page 103

7.9 CorporateActionEventStageV2Code

Definition: Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lot-

Code	Name	Definition
		tery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

["CorporateActionEventStage4Code" on page 103](#)

7.10 CorporateActionEventType37Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes. Usage Guideline restrictions for this code • This code(see v.037.001.16/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.

Code	Name	Definition
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer) is removed.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PutRedemption) is removed.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Bankruptcy) is removed.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (Opt Out) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (DRIP DTC Only)

Code	Name	Definition
		• on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital (DRIP Issuer Only) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Synonym (DTCC): Return of Capital • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution Comment: Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Synonym (DTCC): Capital Gains Distribution • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution Comment: Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.

Code	Name	Definition
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Capitalisation) is removed. • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Capitalisation Synonym (DTCC): Principal
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.
CHAN	Change	Information regarding a change further described in the corporate action details. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Change) is removed.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/ClassAction-ProposedSettlement) is removed.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Consent) is removed.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Conversion) is removed.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CompanyOption) is removed.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Detachment) is removed.

Code	Name	Definition
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/BondDefault) is removed.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Drawing) is removed.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC): Sale of Rights (ADR) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Synonym (DTCC): Return of Capital (Sale of Assets) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales Comment: This event will be used for the scenarios where securities are subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder. Sale of Rights (ADR) is defined as a corporate action event where the rights are ineligible and must be sold, thus the holder can only receive cash for them. Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment

Code	Name	Definition
		Synonym (DTCC): Special Dividend (DRIP Issuer) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Automatic Dividend Reinvestment • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Cash Dividend (DRIP Issuer) • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Comment: Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a voluntary portion of the event that applies to non-US securities). "DRIP" also appears as an option under relevant events.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DutchAuction) is removed.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend – Market Practice Implementation Rule: Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type. When event type is special dividend, DividendType:SPEC code must be used in core schema. • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Special Dividend • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Synonym (DTCC): Cash Dividend • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CashDividend Comment:

Code	Name	Definition
		Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors. Special Dividend: cash payment to shareholders that represents an extra or non-regular payment.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market. Usage Guideline restrictions for this code - on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendOption Synonym (DTCC): Dividend With Option - on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/DividendOption Comment: Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).
DVSC	ScripDividend	Dividend or interest paid in the form of scrip. Usage Guideline restrictions for this code This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.

Code	Name	Definition
		Usage Guideline restrictions for this code - on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend Synonym (DTCC): Stock Dividend - on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/StockDividend Comment: Dividend paid to shareholders in the form of shares of stock of the same security.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation". Usage Guideline restrictions for this code This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Exchange) is removed.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities. Usage Guideline restrictions for this code This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities) is removed.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may

Code	Name	Definition
		prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/WarrantExercise) is removed.
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/InterestPayment – Market Practice Usage Rule:

Code	Name	Definition
		Identifies interest event type. Interest and Principal event types are announced as separate events. • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Interest-Payment Synonym (DTCC): Interest • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Interest-Payment Comment: Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend Synonym (DTCC): Liquidation • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend Comment: Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of proceeds may require the presentation of securities.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/FullCall) is removed.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Merger) is removed.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/SecuritiesHoldersMeeting) is removed.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer) is removed.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the

Code	Name	Definition
		holder of the security or through an offer made by the issuer. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase) is removed.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): General Information • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Unknown • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Rights Plan Adoption • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Distribution • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/OtherEvent Synonym (DTCC): Reorganisation
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares

Code	Name	Definition
		with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Prefunding) is removed.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind Synonym (DTCC): Pay In Kind • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PayInKind Comment: Income on interest-bearing securities where the payment is made in additional securities rather than cash.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation) is removed.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Instalment-Call) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction Synonym (DTCC): Principal • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction Comment: Cash payment that represents a reduction of the principal in the security
PRI0	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification Synonym (DTCC): Tax Event • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Reclassification Comment:

Code	Name	Definition
		Used for US Tax Event 1042-S
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/FinalMaturity) is removed.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/IntermediateSecuritiesDistribution Synonym (DTCC): Rights Distribution • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution Comment: Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by the company to process a subscription offer.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff Synonym (DTCC): Spin-Off • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/SpinOff Comment: Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/StockSplit Synonym (DTCC): Stock Split • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/StockSplit

Code	Name	Definition
		Comment: Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally reduced. Forward split events are included here.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit) is removed.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Tender) is removed.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.

Code	Name	Definition
		Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Synonym (DTCC): Tax Refund • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/TaxReclaim Comment: Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.
WRTH	Worthless	Booking out of valueless securities. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless Synonym (DTCC): Worthless • on seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/Worthless Comment: DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

["Code" on page 40](#)

Restricts

["CorporateActionEventTypeV7Code" on page 129](#)

7.11 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.

Code	Name	Definition
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.

Code	Name	Definition
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Hold-

Code	Name	Definition
		er must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	This includes drawing, partial and full call, put. Redemption in part or full before the scheduled final maturity date of a security, subject to the terms and conditions of the issue. Drawing - Securities are redeemed in part by lottery. Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities. Put - Early redemption of a bond at the election of the bondholder. Full Call - The entire outstanding of a security is redeemed by the issuer.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHD). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security.

Code	Name	Definition
		ty. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.

Code	Name	Definition
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.

Code	Name	Definition
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.

Code	Name	Definition
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

"CorporateActionEventType37Code" on page 104

7.12 CorporateActionOption12Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Abstain) is removed.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/BonusSharePlan) is removed.
BUYA	BuyUp	Buy additional securities to round up position. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/BuyUp) is removed.
CASE	CashAndSecurity	Option to choose between different security and cash options. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/CashAndSecurity Synonym (DTCC): Cash and Securities • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/CashAndSecurity Comment: Holder to receive a combination of cash and securities.
CASH	Cash	Option to choose cash. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Cash

Code	Name	Definition
		• on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Favourable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Unfavourable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment Exempt • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Unfavourable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Exempt • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Currency Payment • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Synonym (DTCC): Foreign Tax Favourable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Cash Comment: Cash: holder to receive cash. Foreign Tax Unfavourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service

Code	Name	Definition
		at DTC. Option to select Un-favourable/Favourable/Exempt respectively for this service. Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency instructed. Foreign Currency Payment Un-favourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax withholding on that distribution will be subject to Un-favourable/Favourable/Exempt tax withholding respectively.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/ConsentAndExchange) is removed.
CONN	ConsentDenied	Vote not to approve the event or proposal. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/ConsentDenied) is removed.
CONY	ConsentGranted	Vote to approve the event or proposal. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/Op-

Code	Name	Definition
		tionType/Code/ConsentGranted) is removed.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/ConsentAndTender) is removed.
EXER	Exercise	Exercise intermediate securities or warrants. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Exercise) is removed.
LAPS	Lapse	Allow event or entitled security to expire. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Lapse) is removed.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/MarkDown) is removed.

Code	Name	Definition
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)). Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/MarkUp) is removed.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Retain) is removed.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/NoAction) is removed.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/NonQualifiedInvestor) is removed.

Code	Name	Definition
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/ProposedRate) is removed.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Other – Market Practice Usage Rule: Identifies option type that does not have ISO equivalent. • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Other Synonym (DTCC): Other • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Other Comment: Applies when a specific ISO option code cannot be used.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Oversubscribe) is removed.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds – Market Practice Usage Rule: Used only when the proceeds are not known. • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds Synonym (DTCC): Convert • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/UnknownProceeds Comment: Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.
QINV	QualifiedInvestor	Account owner is a qualified investor. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/QualifiedInvestor) is removed.
SECU	Security	Distribution of securities to holders. Usage Guideline restrictions for this code • on seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP

Code	Name	Definition
		• on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Unfavorable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): Securities • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Favorable • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Synonym (DTCC): DRIP Exempt • on seev.037.001.16/Corporate-ActionConfirmationDetails/OptionType/Code/Security Comment: Securities: holder to receive securities. DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC. DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate. DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate. DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and

Code	Name	Definition
		the tax withholding on those shares will be at exempt rate.
SLLE	SellEntitlement	Sell the intermediate securities. Usage Guideline restrictions for this code • This code(seev.037.001.16/CorporateActionConfirmationDetails/OptionType/Code/SellEntitlement) is removed.

Used in element(s)

"Code" on page 49

Restricts

"CorporateActionOptionCode" on page 145

7.13 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting. If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.

Code	Name	Definition
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a putable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.

Code	Name	Definition
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption12Code" on page 136

7.14 CorporateActionReversalReason3Code

Definition: Specifies the reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

Code	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis. Usage Guideline restrictions for this code • This code(seev.037.001.16/ReversalReason/Reason/Code/DayCountBasisDifference) is removed.
FNRC	FundsNotReceived	Funds have not been received. Usage Guideline restrictions for this code • This code(seev.037.001.16/ReversalReason/Reason/Code/FundsNotReceived) is removed.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect. Usage Guideline restrictions for this code • This code(seev.037.001.16/ReversalReason/Reason/Code/IncorrectEventLevelTaxRate) is removed.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/ReversalReason/Reason/Code/Incorrect-PaymentCurrency) is removed.
IPRI	IncorrectPrice	Price is incorrect.
IRED	IncorrectEntitlementDate	Entitlement date is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
OTHR	Other	Other Usage Guideline restrictions for this code • This code(seev.037.001.16/ReversalReason/Reason/Code/Other) is removed.
UPAY	UnduePayment	Payment is not due.

Used in element(s)

"Code" on page 50

Restricts

"CorporateActionReversalReasonV2Code" on page 148

7.15 CorporateActionReversalReasonV2Code

Definition: The reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

Code	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IRED	IncorrectEntitlementDate	Entitlement date is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
OTHR	Other	Other
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.

Code	Name	Definition
UPAY	UnduePayment	Payment is not due.

Is restricted by

["CorporateActionReversalReason3Code" on page 147](#)

7.16 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules

R4 Country ✓

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

["Country" on page 80](#)

7.17 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.

Used in element(s)

["CreditDebitIndicator" on page 87](#), ["CreditDebitIndicator" on page 29](#)

Restricts

["AmountDirectionCode" on page 102](#)

7.18 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 53

Restricts

"DateTypeCode" on page 150

7.19 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

["DateType8Code" on page 150](#)

7.20 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

["Number" on page 73](#), ["ShortNumber" on page 58](#)

7.21 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern [a-zA-Z0-9]{4}

Used in element(s)

["Identification" on page 62](#), ["Identification" on page 63](#), ["QuantityType" on page 82](#)

7.22 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength 4

minLength 1

Used in element(s)

"Code" on page 69

Restricts

"ExternalFinancialInstrumentIdentificationTypeCode" on page 152

7.23 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength 4
minLength 1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 151

7.24 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Rules**R22 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00003

– *Error Text*: Invalid IBAN format or invalid check digits.

Used in element(s)

"IBAN" on page 28, "IBAN" on page 27

7.25 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 90

7.26 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

"LongNumber" on page 58

7.27 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"Date" on page 52, "Date" on page 54, "ValueDate" on page 31

7.28 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 52

7.29 IntermediateSecurityDistributionType5Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For exam-

Code	Name	Definition
		ple "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Distribution of subscription rights.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRI0	OpenOfferRights	Distribution of open offer rights.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Used in element(s)

"Code" on page 70

Restricts

"IntermediateSecurityDistributionTypeCode" on page 155

7.30 IntermediateSecurityDistributionTypeCode

Definition: Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Distribution of reverser rights.
BONU	BonusRights	Distribution of bonus rights.
DRIP	DividendReinvestment	Distribution of dividend reinvestment securities.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.

Code	Name	Definition
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of shares of stock.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Distribution of subscription rights.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	A distribution of cash, assets or both.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRIO	OpenOfferRights	Distribution of open offer rights.
SOFF	SpinOff	A distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Is restricted by

"IntermediateSecurityDistributionType5Code" on page 154

7.31 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Used in element(s)

"Code" on page 71

Restricts

"LotteryTypeCode" on page 157

7.32 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

"LotteryType1Code" on page 156

7.33 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"Identification" on page 26

7.34 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 79, "PostCode" on page 79, "Suffix" on page 76

7.35 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

"DigitalTokenUnit" on page 62

7.36 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

"PlaceAndName" on page 94

7.37 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

"CountrySubDivision" on page 80, "Issuer" on page 63, "SchemeName" on page 63, "TownName" on page 80

7.38 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Issuer" on page 64](#), ["Issuer" on page 66](#), ["Issuer" on page 82](#), ["Issuer" on page 68](#), ["SchemeName" on page 65](#), ["SchemeName" on page 67](#), ["SchemeName" on page 82](#), ["SchemeName" on page 68](#)

7.39 Max70Text

Definition: Specifies a character string with a maximum length of 70characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

["AddressLine" on page 79](#), ["Name" on page 26](#), ["StreetName" on page 79](#)

7.40 OptionNumber1Code

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Used in element(s)

["Code" on page 74](#)

Restricts

["OptionNumberCode" on page 159](#)

7.41 OptionNumberCode

Definition: Code identifying special corporate action option numbers.

Type: CodeSet

Code	Name	Definition
UNSO	Unsolicited	The corporate action instruction is unsolicited, that is, the instruction has not been preceded by a corporate action notification.

Is restricted by

"OptionNumber1Code" on page 159

7.42 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

"ActiveCurrencyCode" on page 96

7.43 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction. Usage Guideline restrictions for this code This code(seev.037.001.16/MovementConfirmationIdentification/LinkageType/Code/After) is removed.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.

Code	Name	Definition
		Usage Guideline restrictions for this code • This code(seev.037.001.16/MovementConfirmationIdentification/LinkageType/Code/Before) is removed.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction. Usage Guideline restrictions for this code • This code(seev.037.001.16/MovementConfirmationIdentification/LinkageType/Code/With) is removed.

Used in element(s)

"Code" on page 81

Restricts

"ProcessingPositionCode" on page 161

7.44 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 160

7.45 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 96

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules

R20 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Used in element(s)

"PostingAmount" on page 32

7.46 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

fractionDigits	14
totalDigits	14

Used in element(s)

"Quantity" on page 82, "Unit" on page 60

7.47 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must has a pattern XX|TS.

Type: Text

Format

length	2
pattern	XX TS

Used in element(s)

"Proprietary" on page 69

7.48 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

"AmortisedValue" on page 61, "AmortisedValue" on page 74, "FaceAmount" on page 60, "FaceAmount" on page 74

7.49 RestrictedFINMax35Text

Definition: Specifies a character string with a maximum length of 35 characters. It has a pattern `([^\r\n/]+)([^\r\n/]*)` that disables the use of slash "/" at the beginning and end of line and double slash "//" within the line.

Type: Text

Format

maxLength	35
minLength	1
pattern	<code>([^\r\n/]+)([^\r\n/]*)</code>

Used in element(s)

"MarketInfrastructureTransactionIdentification" on page 95

7.50 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	34
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.,'\+]{1,34}

Used in element(s)

"Proprietary" on page 28, "Proprietary" on page 27

7.51 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-!\?:\(\)\.,'\+]{1,140}

Used in element(s)

"BlockchainAddressOrWallet" on page 22, "Description" on page 91, "Name" on page 72

7.52 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	(([0-9a-zA-Z/\-!\?:\(\)\.,'\+]([0-9a-zA-Z/\-!\?:\(\)\.,'\+]*([0-9a-zA-Z/\-!\?:\(\)\.,'\+])?)*))

Used in element(s)

"AccountOwnerDocumentIdentification" on page 58, "AccountServicerDocumentIdentification" on page 57, "ClassActionNumber" on page 42, "CorporateActionEventIdentification"

on page 41, "Identification" on page 55, "LinkedCorporateActionIdentification" on page 37, "LinkedOfficialCorporateActionEventIdentification" on page 37, "OfficialCorporateActionEventIdentification" on page 42

7.53 RestrictedFINXMax256Text

Definition: Specifies a character string with a maximum length of 256 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	256
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.\\n\r,'\+]{1,256}

Used in element(s)

"AdditionalReasonInformation" on page 52

7.54 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	([0-9a-zA-Z/\-?:(\)\.\\n\r,'\+]([0-9a-zA-Z/\-?:(\)\.\\n\r,'\+])*([0-9a-zA-Z/\-?:(\)\.\\n\r,'\+])?)*

Used in element(s)

"Identification" on page 66

7.55 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.\\n\r,'\+]{1,31}

Used in element(s)

["Identification" on page 75](#)

7.56 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	([0-9a-zA-Z\-\?:(\)\.,'+]([0-9a-zA-Z\-\?:(\)\.,'+]*([0-9a-zA-Z\-\?:(\)\.,'+])?)*)

Used in element(s)

["Identification" on page 67](#)

7.57 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	[0-9a-zA-Z\-\?:(\)\.,\n\r,'+]{1,350}

Used in element(s)

["AdditionalText" on page 45](#), ["NarrativeVersion" on page 45](#), ["PartyContactNarrative" on page 46](#), ["TaxationConditions" on page 46](#)

7.58 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z\-\?:(\)\.,'+]{1,35}

Used in element(s)

["SafekeepingAccount" on page 21](#)

7.59 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Used in element(s)

["ShortLongPosition" on page 93](#), ["ShortLongPosition" on page 92](#)

Restricts

["ShortLongCode" on page 167](#)

7.60 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 167](#)

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